JSC "RIETUMU BANKA" VESETAS 7 / RIGA LV-1013 / LATVIA REG. No. 40003074497 / RTMBLV2X BLOOMBERG: RIET TELEPHONE +371 67025555 FAX +371 67025588 info@rietumu.lv www.rietumu.com

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RIETUMU

Date ____ / ____ / 20 ____

INFORMATION (QUESTIONNAIRE) FOR TRADE FINANCE

COMPLETE IN BLOCK CAPITALS

Approved by the Board of JSC "Rietumu Banka", Minutes No. 13, 12.04.2019

Company
Registration No.
Contact phone + E-mail
Description of company's activities
Time on the marketyears
Company owners
1 Name, surname / Company name
Work experience (for a private individual)
2 Name, surname / Company name
Work experience (for a private individual)
3 Name, surname / Company name
Work experience (for a private individual)
Key managers of the company
Name, surname
Work experience
2 Name, surname
Work experience
3 Name, surname
Work experience

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Expected credit line amount	Preferred annual interest rate	%
Purpose of the requested financing:		
Offered collateral:		
Key commodity suppliers		
1 Name of the supplier and the country of registration:		
Commodities:		
Countries of dispatching of commodities:		
Image: letter of credit Image: doc	rred payment days	
Delivery terms of commodities (Incoterms 2010) (e.g., FOB Riga):		
Percent of total purchase volume Operational expe	rience with the supplier from year	
2 Name of the supplier and the country of registration:		
Commodities:		
Countries of dispatching of commodities:		
letter of credit doc	rred payment days umentary collection	
Delivery terms of commodities (Incoterms 2010) (e.g., FOB Riga):		
Percent of total purchase volume Operational expe	rience with the supplier from year 💷	
3 Name of the supplier and the country of registration:		
Commodities:		
Countries of dispatching of commodities:		
letter of credit doc	rred payment days umentary collection	
Delivery terms of commodities (Incoterms 2010) (e.g., FOB Riga):		
Percent of total purchase volume Operational expe	rience with the supplier from year	
4 Name of the supplier and the country of registration:		
Commodities:		
Countries of dispatching of commodities:		

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Settlement procedure with suppliers:	prepayment	deferred payment	_ days
	letter of credit	documentary collection	
	other		
Delivery terms of commodities (Incote	erms 2010) (e.g., FOB Riga):		
Percent of total purchase volume	Operationa	al experience with the supplier	from year
5 Name of the supplier and the cou Commodities:	, 0		
Countries of dispatching of commodit	ies:		
Settlement procedure with suppliers:	letter of credit	deferred payment	
Delivery terms of commodities (Incote	erms 2010) (e.g., FOB Riga):		
Percent of total purchase volume	Operationa	al experience with the supplier	from year
Additional information:			
Key commodity buyers 1 Name and country of registration Commodition			
Commodities: Countries of delivery of commodities:			
Settlement procedure with buyers:	prepayment letter of credit	deferred payment documentary collection	_ days
Delivery terms of commodities (Incote	erms 2010) (e.g., FOB Riga):		
Percent of total sales volume	Operational experi	ence with the supplier from yea	Ir
2 Name and country of registration	of the buyer:		
Commodities:			
Countries of delivery of commodities:			
Settlement procedure with buyers:	prepayment letter of credit other	deferred payment	

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Delivery terms of commodities (Inco	terms 2010) (e.g., FOB Riga):
Percent of total sales volume	Operational experience with the supplier from year
3 Name and country of registration	n of the buyer:
Commodities:	
Countries of delivery of commoditie	s:
Settlement procedure with buyers:	prepayment deferred payment days letter of credit documentary collection other
Delivery terms of commodities (Inco	terms 2010) (e.g., FOB Riga):
Percent of total sales volume	Operational experience with the supplier from year
4 Name and country of registration	of the buyer:
Commodities:	
Countries of delivery of commodities	S:
Settlement procedure with buyers:	prepayment deferred payment days letter of credit documentary collection other other
Delivery terms of commodities (Inco	terms 2010) (e.g., FOB Riga):
Percent of total sales volume	Operational experience with the supplier from year
5 Name and country of registration	of the buyer:
Commodities:	
Countries of delivery of commodities	S:
Settlement procedure with buyers:	prepayment deferred payment days letter of credit documentary collection other other
Delivery terms of commodities (Inco	terms 2010) (e.g., FOB Riga):
Percent of total sales volume	Operational experience with the supplier from year
Additional information:	

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Turnover data

Please specify information for last two periods and for the current period

Name of commodity	year Luci		year Luculu		u year u month	
	mt	(currency)	mt	(currency)	mt	(currency)
Total						
		In	cl.			
Duration of the trade cycle:						
Terminals from which the deliv	veries of commo	dities are carried o	ut			
1 Name, address:						
Direct cooperation						
Cooperation through a forw	/arder		(specify na	me of the forwarder)		
2 Name, address:						
Direct cooperation						
Cooperation through a forw	/arder					
			(specify na	me of the forwarder)		
3 Name, address:						
Direct cooperation						
Cooperation through a forw	/arder					
			(specify na	me of the forwarder)		
Bank accounts of the company i	n other banks:					
(full name of the	a hank)			(country)		
	e Darik)			(country)		
Additional information:						

Current credi	t obligations of the co	ompany				
1 Creditor _		(full name)			(sum)	(currency)
Credit	Credit line	Leasing	Overdraft	Date of discharge		
Collateral:						
2 Creditor _		(full name)			(sum)	(currency)
Credit	Credit line	Leasing	Overdraft	Date of discharge		
Collateral:						
3 Creditor _		(full name)			(sum)	(currency)
Credit	Credit line	Leasing	Overdraft	Date of discharge		,
Collateral:						
Additional info	ormation:					

The Bank processes the personal data of private individuals (hereinafter – data) in accordance with the laws of the Republic of Latvia and the European Union.

Full information on the processing of data by the Bank, the purposes, legal basis of the processing, data recipients, the transmission and transfer of data, the rights and obligations of the data subject, and other information regarding the data processing is included in the Client Personal Data Processing Notice, whose current wording is published on www.rietumu.com. The applicant confirms that he/she has read the above document, is informed on its conditions, and is aware of that the Bank is entitled to unilaterally amend the Client Personal Data Processing Notice.

If the applicant or any of his/her representatives transfer to the Bank the data of private individuals and/or documents comprising data, the applicant and his/her representatives certify and guarantee that:

- they are entitled to transfer the data of these persons to the Bank and comply with the requirements of the data protection laws;

- persons whose data were transferred to the Bank were informed on the data processing by the Bank and have given consent or otherwise permitted the processing of their data. The applicant and his/her representatives have presented the Client Personal Data Processing Notice to these persons;

- upon the Bank's request the applicant and his/her representatives would immediately provide the Bank with the documents approving the consent or any other permission given by the respective person to the transferring and processing of the data by the Bank, or a document approving other grounds for transferring and processing of the data in the Bank.

The applicant and his/her representatives are liable for the claims of any private individuals against the Bank, and losses related thereto, and the sanctions imposed by competent institutions, if any.

The Bank is not liable for any actions of third parties, including for further disclosure, use, storage or transferring of the data, to whom in relation to the provision of services by the Bank, the fulfilment by the Bank of its lawful obligations and the Bank's legitimate interests, the data of the applicant or private individuals related with him/her were transferred and/or disclosed. Information on the applicant may be disclosed to other parties to a transaction and other persons in accordance with the Client Personal Data Processing Notice.

The applicant and his/her representatives agree that for communication and exchange of data with them or other persons involved in the reviewing and ensuring of the transaction, the Bank is entitled to use public communication channels, including electronic mail, phone, mail and other means of communication. The applicant and his/her representatives assume all risks that are related to the technique and security of the data transfer. The Bank is not liable for losses incurred in relation to unlawful access to the transferred information.

In order to verify or receive additional information, the Bank is entitled to use private or public registers, including to request information on the applicant from the credit information bureau (kredītinformācijas birojs).

Documents enclosed to the Information (questionnaire) for trade finance are as follows:	
Statutes of the company and amendments thereto	
Copies of contracts with suppliers and buyers	
Operating balance sheet with disclosures and income statement with disclosures for the current year	r
Audited balance sheet with disclosures and income statement with disclosures for the previous period	bd
Presentation of the company	
A borrower, and potential co-borrowers, guarantors and pledgors (corporate entities) shall fill out F form, pote individuals) shall fill out B form, potential pledgors (private individuals) shall fill out D form.	ntial co-borrowers, guarantors (private
F form: Yes No number of documents form: No Yes	number of documents
B form: Yes No number of documents form: No Yes	number of documents
D form: Yes No number of documents form: No Yes	number of documents
Hereby I confirm that the information/documents provided are true.	
The applicant represented by	
other	Seal

TO BE COMPLETED BY BANK EMPLOYEE

please enclose a power of attorney to the Questionnaire

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I confirm the identity and signature of the authorised representative

Passport / ID document No.

Х Date ____ /___ / 20 ____ (signature) (name_surname)

(signature)

Date ____ /___ / 20 ____