

¹Politically exposed person – a person, who is entrusted or has been entrusted with prominent public functions in the Republic of Latvia, in another EU or EEA Member State or a third country, including the head of the state, the head of an administrative unit (municipality) of the state, the head of the government, minister (a deputy minister or an assistant deputy minister, if such a position exists in the state), state secretary or another high-ranking official in the government or in the administrative unit (municipality) of the state, a member of the parliament or a similar legislative framework, member of the governing body (board) of a political party, judge of the constitutional court, supreme court or another court (member of a judicial institution), member of the council or board of the supreme audit institution, member of the council or board of a central bank, ambassador, chargé d'affaires, high-ranking military officer, member of the council or of the board of a state-owned enterprise, the head (director, deputy director) and board member of an international organization, or a person who holds an equivalent position in that organization.

²Family member of a politically exposed person – a person, who is a politically exposed person's: a) spouse or a person equivalent to the spouse. The person is considered as a person equivalent to a spouse only if the laws of the corresponding country provide this person with a corresponding status, b) child or a child of a politically exposed person's spouse or a person equivalent to a politically exposed person's spouse, his spouse or a person equivalent to a spouse, c) parent, grandparent or grandchild, d) brother or sister.

³Person closely related to a politically exposed person – a private person, on whom it is known that it has business or another close relationship with any of the politically exposed persons, or he/she is a shareholder or participant of one and the same commercial company and any of the politically exposed persons, as well as a private person, who is a sole owner of such legal entity, on which it is known that it was actually formed in the interest of the politically exposed person.

2. Information on marital status of the pledgor

Marital status: single married

Have you entered into a marriage contract? Yes No

3. Information on the collateral object

Object(-s): apartment dwelling house commercial space land

other _____
(please specify)

Address _____

Market value, currency _____ Total area _____

Additional information on the collateral object _____

Year of construction _____ Technical condition of the building: renovated not renovated

Market value is determined:

based on the public data

by an independent valuation company _____
(please specify name of the valuation company and the date of valuation)

Use of the pledge:

for private purposes: lease / rent not used
(specify if the pledge is a residential property and is resided by you or your family members)

other _____
(please specify)

For the residing area located in the Russian Federation or the Republic of Belarus please specify the number of declared persons, incl. number of children under 18 _____

If the collateral is leased out / rented, please specify information on the tenant(-s) / lessee(-s) and lease / rental conditions:

Tenant / lessee: private individual corporate entity

Rental / lease _____ Monthly rental / lease income _____

Starting date of rental / lease agreement ____/____/____

End date of rental / lease agreement ____/____/____

Rental / lease agreement is registered in the Land Register⁴: Yes No

⁴Or in the register of the respective country

If the collateral is leased out / rented to several tenants, please enclose to this questionnaire a list of the rental / lease agreements, by specifying information on the tenants / lessees and rental / lease conditions in the amount specified in this part of the questionnaire.

X

(pledgor's signature)

4. Liabilities of the pledgor

1 Loans, leasing

Lender 1: private individual

corporate entity _____

(full name of the lender-corporate entity)

Date of repayment ____/____/____

Loan balance _____ Monthly payment _____

Payment discipline: no deviations from the schedule were / are deviations from the schedule

2 Loans, leasing

Lender 2: private individual

corporate entity _____

(full name of the lender-corporate entity)

Date of repayment ____/____/____

Loan balance _____ Monthly payment _____

Payment discipline: no deviations from the schedule were / are deviations from the schedule

Have you been / are subject to enforcement proceedings?

No Yes _____

(please specify)

Have you been / are subject to bankruptcy proceedings of private individual?

No Yes _____

(please specify)

Additional information: _____

The Bank requests information on a pledgor in order to identify the pledgor according to the requirements of the anti-money laundering and counter-terrorism financing laws, to assess the collateral object and the credit ability of the pledgor, and to ensure communication with the pledgor and to prepare documents.

The Bank processes the personal data of private individuals (hereinafter – data) in accordance with the laws of the Republic of Latvia and the European Union.

Full information on the processing of data by the Bank, the purposes, legal basis of the processing, data recipients, the transmission and transfer of data, the rights and obligations of the data subject, and other information regarding the data processing is included in the Client Personal Data Processing Notice, whose current wording is published on www.rietumu.com. The pledgor confirms that he/she has read the above document, is informed on its conditions, and is aware of that the Bank is entitled to unilaterally amend the Client Personal Data Processing Notice.

If the pledgor or any of his/her representatives transfer to the Bank the data of private individuals and/or documents comprising data, the pledgor and his/her representatives certify and guarantee that:

- they are entitled to transfer the data of these persons to the Bank and comply with the requirements of the data protection laws;
- persons whose data were transferred to the Bank were informed on the data processing by the Bank and have given consent or otherwise permitted the processing of their data. The pledgor and his/her representatives have presented the Client Personal Data Processing Notice to these persons;
- upon the Bank's request the pledgor and his/her representatives would immediately provide the Bank with the documents approving the consent or any other permission given by the respective person to the transferring and processing of the data by the Bank, or a document approving other grounds for transferring and processing of the data in the Bank.

The pledgor and his/her representatives are liable for the claims of any private individuals against the Bank, and losses related thereto, and the sanctions imposed by competent institutions, if any.

X

(pledgor's signature)

The Bank is not liable for any actions of third parties, including for further disclosure, use, storage or transferring of the data, to whom in relation to the provision of services by the Bank, the fulfilment by the Bank of its lawful obligations and the Bank's legitimate interests, the data of the pledgor or private individuals related with him/her were transferred and/or disclosed. Information on the pledgor may be disclosed to other parties to a transaction and other persons in accordance with the Client Personal Data Processing Notice.

The pledgor and his/her representatives agree that for communication and exchange of data with them or other persons involved in the reviewing and ensuring of the transaction, the Bank is entitled to use public communication channels, including electronic mail, phone, mail and other means of communication. The pledgor and his/her representatives assume all risks that are related to the technique and security of the data transfer. The Bank is not liable for losses incurred in relation to unlawful access to the transferred information.

In order to verify or receive additional information, the Bank is entitled to use private and public registers.

This questionnaire shall form an integral part of the Application for a loan.

Hereby I confirm that the information provided is true and exhaustive. In case of any changes in the above information, the pledgor undertakes within a period of 3 (three) days to notify on it JSC "Rietumu Banka" in writing. I certify that the pledgor is warned on the personal liability if he/she fails to notify on changes in the specified information in due term and for providing of false and/or incomplete information.

Questionnaire was filled in by

_____ X _____ Date ____ / ____ / 20____
(name, surname) (signature)

TO BE COMPLETED BY BANK EMPLOYEE

I confirm the identity and signature of the pledgor or the authorised representative of the pledgor

Passport / Identity document No. _____

_____ X _____ Date ____ / ____ / 20____
(name, surname) (signature)

List of documents to be enclosed by the pledgor to this questionnaire:

- Passport of the pledger*
- Copies of the offered collateral lease / rent agreements
- Evaluation of collateral market value made by the certified expert**

If the pledgor is the owner of the offered collateral object, the following documents must be submitted:

- Documents approving the ownership of the offered collateral object (including documents, whereupon the ownership to the collateral is received, e.g., purchase agreement, gift contract and other documents)*
- Cadastral survey file of the offered collateral object (inventory file)
- Land borders plan
- Certification of payment of the real estate tax
- Certification that there are no public utility payments and electricity debts
- Lease agreement(-s) (if the offered collateral object is leased out)*
- Market value assessment of the collateral made by the certified expert**

Other documents _____
(please specify other submitted documents)

*Documents that will be copied by an employee of JSC "Rietumu Banka"

**Information on the real estate valuers recognised by JSC "Rietumu Banka" is available at the pledgor's request.

Pledgor represented by _____
(name, surname)

X _____
(signature)